

# Integrated GIS-based Optimization of Municipal Infrastructure Maintenance Planning

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# Agenda

- Research motivations
- Objectives
- Infrastructure deterioration
- Integrated infrastructure management
- Proposed approach
- Case study
- Summary and future work

# Infrastructures Conditions In Canada

- Most Canadian cities emphasis has been placed on new construction for the past three decades which caused major deterioration to old facilities.  
(Vanier and Danylo, 1998)
- There is currently maintenance accumulated shortfall estimated at \$44 billion to return these assets to an acceptable condition.  
(FCM, 1996).
- Canadian municipalities spend about CAD \$15 billion per year on infrastructure of which 80% is spent over repair and renewal operations of the old existing infrastructures.  
(CWWA 1997)

# Infrastructures Conditions in The U.S.A.

- The American infrastructure facilities have the following rating:
  - Bridges C
  - Transit D+
  - Drinking Water D-
  - Wastewater D-
  - Roads D
  - Dams D

(ASCE 2005)

# Municipalities Current Challenges

- Knowing the Infrastructure facilities conditions.
- The absence of standard infrastructure rating system.
- The absence of clear standard MR&R prioritization system.
- Growing municipal budget deficit.
- Lack of standard prioritization procedure.
- Lack of collaboration among different municipalities to manage their shared infrastructure facilities.

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# Objectives

- To investigate different deterioration rating techniques for different infrastructure facilities.
- To investigate the suitability of different infrastructure rehabilitation techniques based on spatio-temporal analysis.
- To use a GIS as an integration platform to visualize the prioritized rated facilities.
- To investigate the applicability of genetic algorithms for optimizing the integrated plans.

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# Factors Affecting Infrastructure Facilities Deterioration

- Infrastructure facilities deterioration is a function based on many parameters that can be classified into the following categories:
  - Physical parameters (material used, construction method, etc.)
  - Operational parameters (traffic volume, speed, vehicle loads, etc.)
  - Environmental parameters (soil corrosiveness, soil texture, etc.)

# Infrastructure Deterioration Models

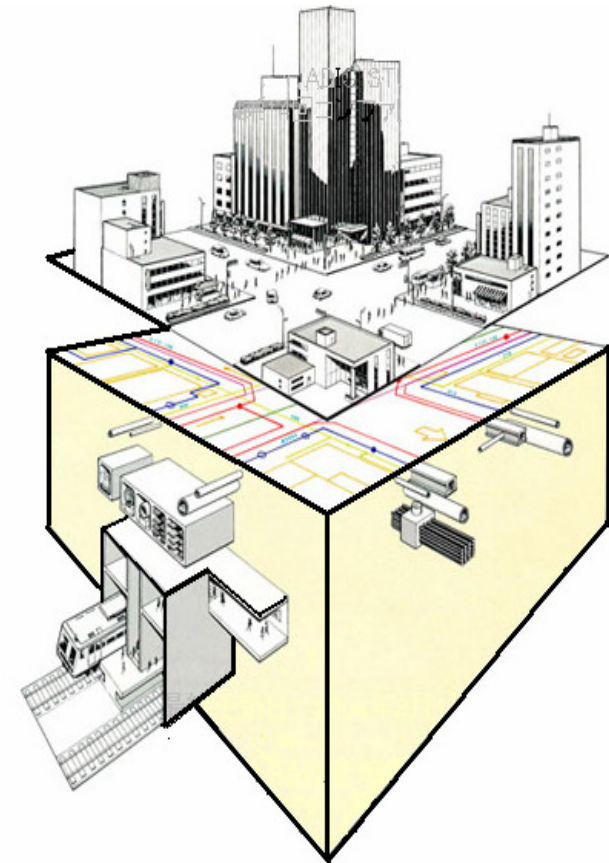
- Deterioration models are used to:
  - Evaluate current asset conditions.
  - Predict best rehabilitation procedure & timing.
  - Predict the future conditions of infrastructure facilities.
  - Quantify the deterioration in different facilities based on stochastic or deterministic analysis.

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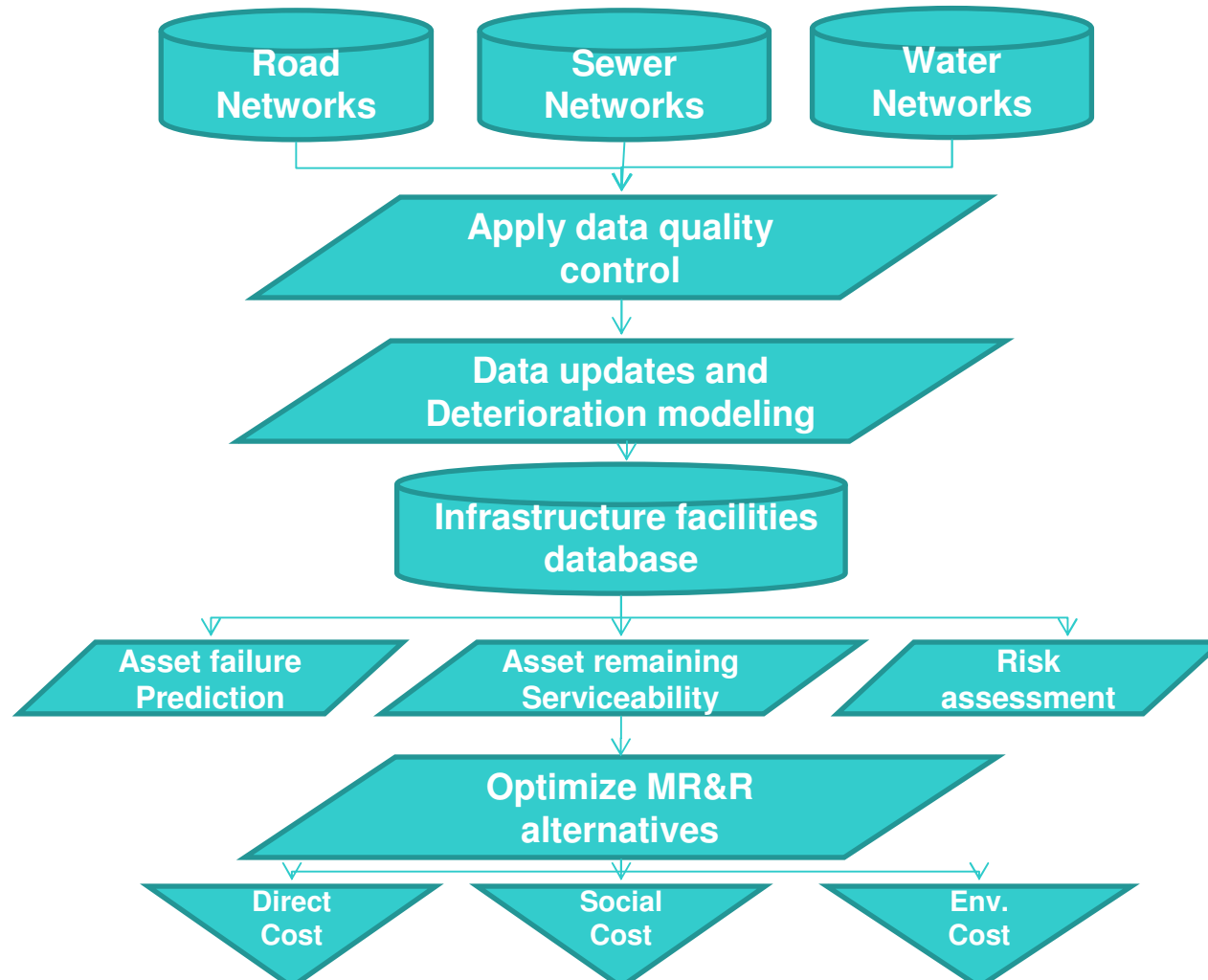
# Integrated Infrastructure Management Approach (IIMA)

- Several infrastructure facilities usually are buried within certain proximity under roads.
- Sharing the same space dictates the importance of applying integrated MR&R interventions.
- This MR&R integration dictates spatial integration factors that need to be carefully analyzed.
- This integration is expected to reduce the lifecycle costs and the social and environmental impacts.



Source: <http://www.roadic.or.jp>

# Required Data for MR&R Optimization (Proposed)



# Spatio-Temporal Analysis

- Spatio-temporal analysis refers to the relationship integrating time and space as the primary dimensions of data for spatio-temporal processes.  
(Xiaobai 2003)
- Spatio-temporal relationship depends on information technologies to visualize space, time and their analysis.

# Dynamic Segmentation

- Dynamic segmentation is a process of transforming linearly referenced data (commonly called events) stored in a table into a feature that can be displayed on a map.

(ESRI 2007)

# Dynamic Segmentation Requirements

- To perform dynamic segmentation, the data must satisfy the following two requirements:
  - First, each event in an event table must include a unique identifier and its measurement along a linear feature.
  - Second, each linear feature (commonly called a route) must have a unique identifier and a measurement system stored with it.

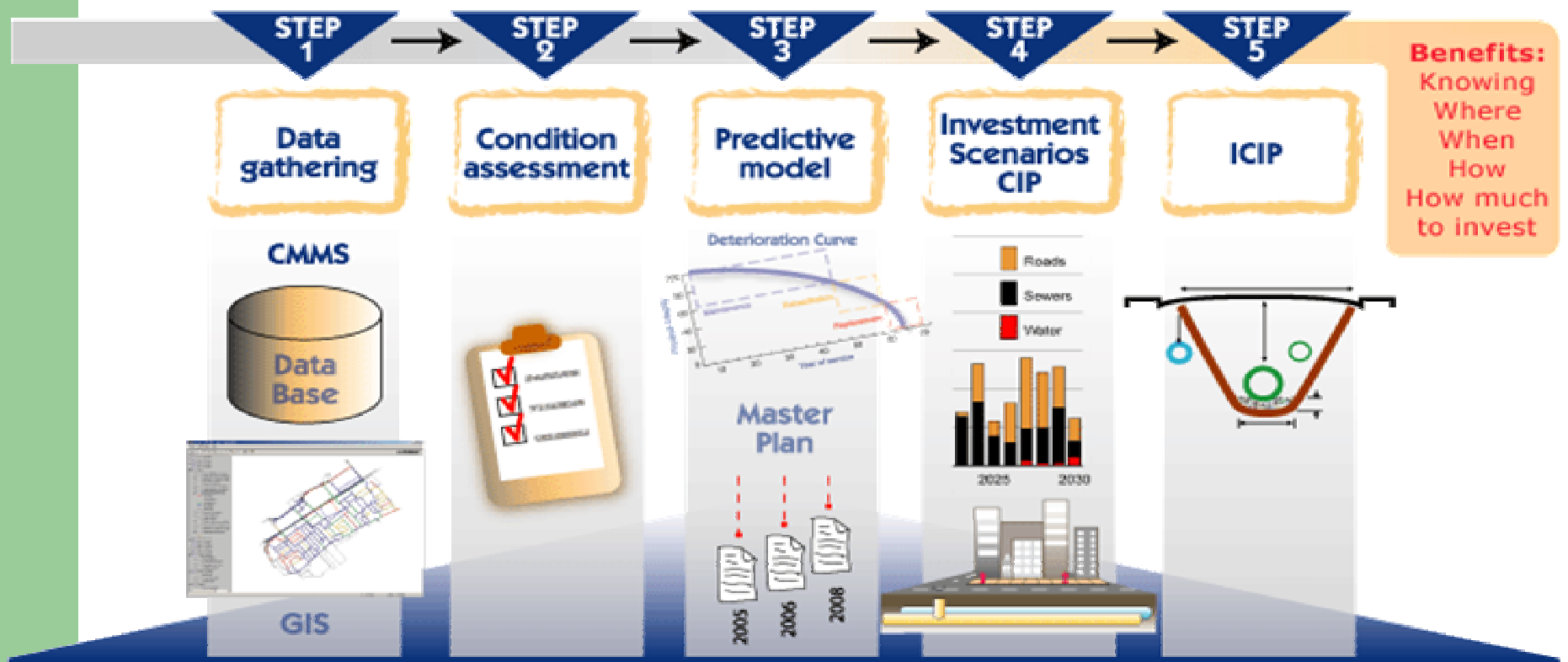
(Cadkin & Brennan, 2002)



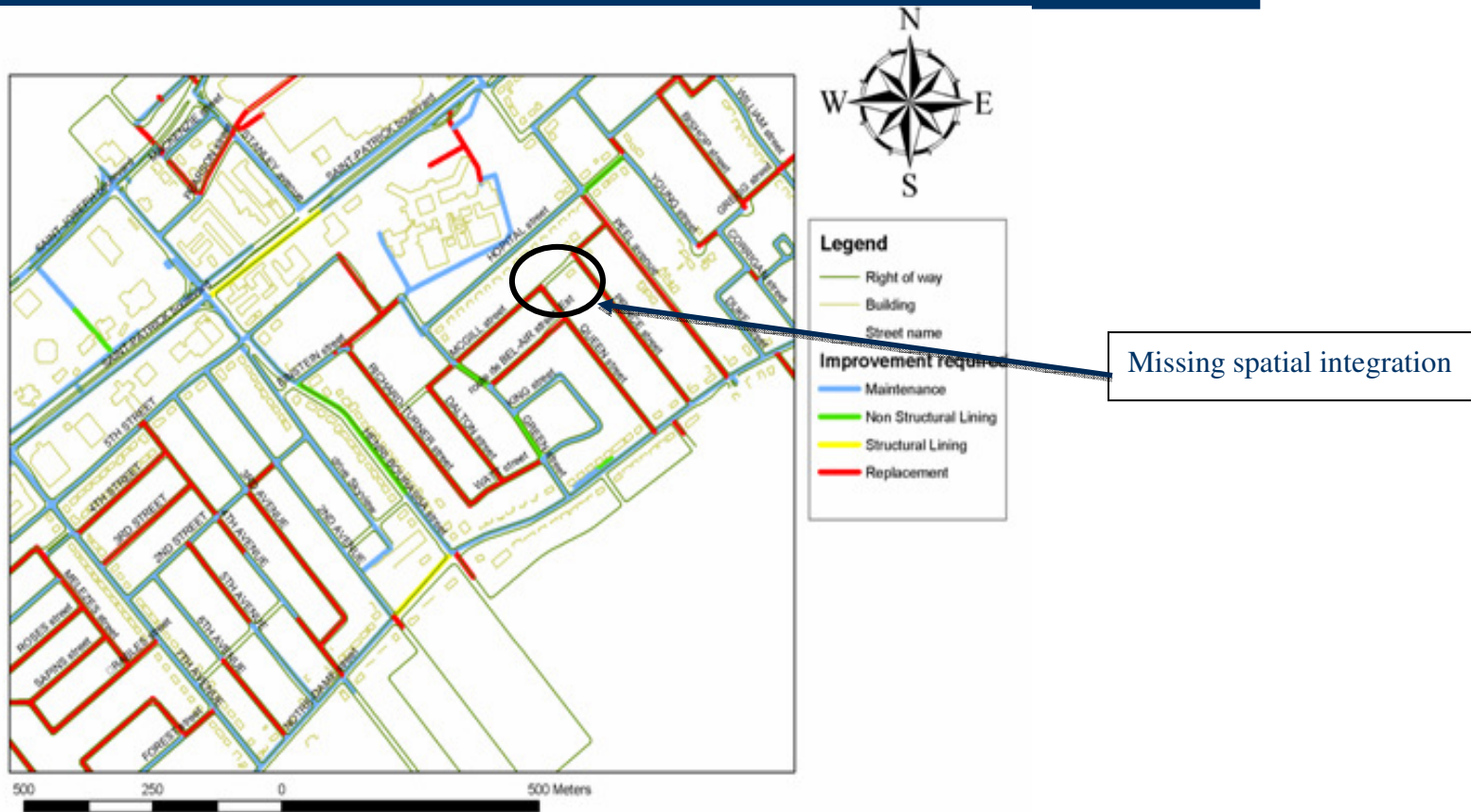
# GISs Role in the Integration Process

- GISs can be used as the integration platform for IIMS, which is designed to manage the needs of different infrastructure components.
- GISs can show the proximity of different facilities which will make it possible to visualize their interrelationships.
- GISs help in realizing the needs and impacts of different MR&R methods over different facilities.
- GISs well defined attributes are an important tool to characterize different infrastructure facilities.
- GIS tools, such as segmentations tools, are required to do the integrated analysis.

# Harfan Integrated Management Approach



# Project Level Optimization



Harfan's IDSS

## SAGE: Systeme d'aide a la gestion et a l'entretien

- SAGE establishes a framework of an information system that integrates the maintenance activities of civil municipal infrastructure (road, sewer, and water networks).
- SAGE justifies the feasibility of integrating various infrastructure components in the same decision system, and quantifies the value of this integration.
- SAGE was programmed using visual basic, and obtains various infrastructure data from a Microsoft Access data base.

(Bruxelle 2005)

# SAGE Optimization Approach

Minimize  $\sum_{u=1}^o \sum_{s=1}^n \sum_{t=1}^m \sum_{a=1}^k x_{sta}^u C_{sta}^u$  (Bruxelle 2005)

Subject to  $\sum_{a=1}^k x_{sta}^u = 1 \forall t, s, u$   $x_{sta}^u = \begin{cases} 0 \\ 1 \end{cases}$

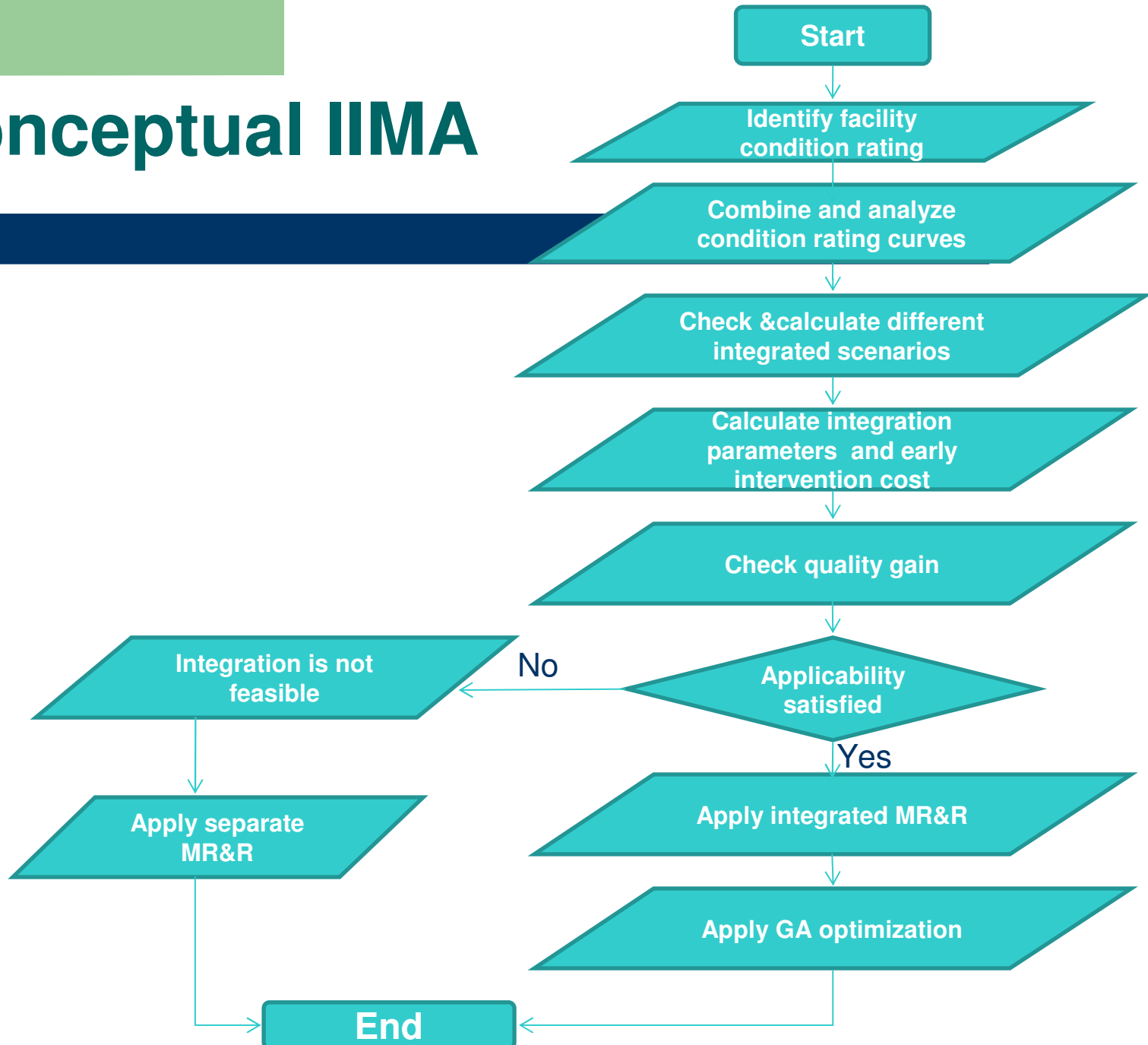
$$Q_{t+1}^u = Q_t^u + x_{sta}^u \Delta Q_a^u \geq Q_{\min}^u$$

- Simplex method was applied to optimize the objective function.
- The minimum acceptable quality for each facility is the constraint of this optimization.

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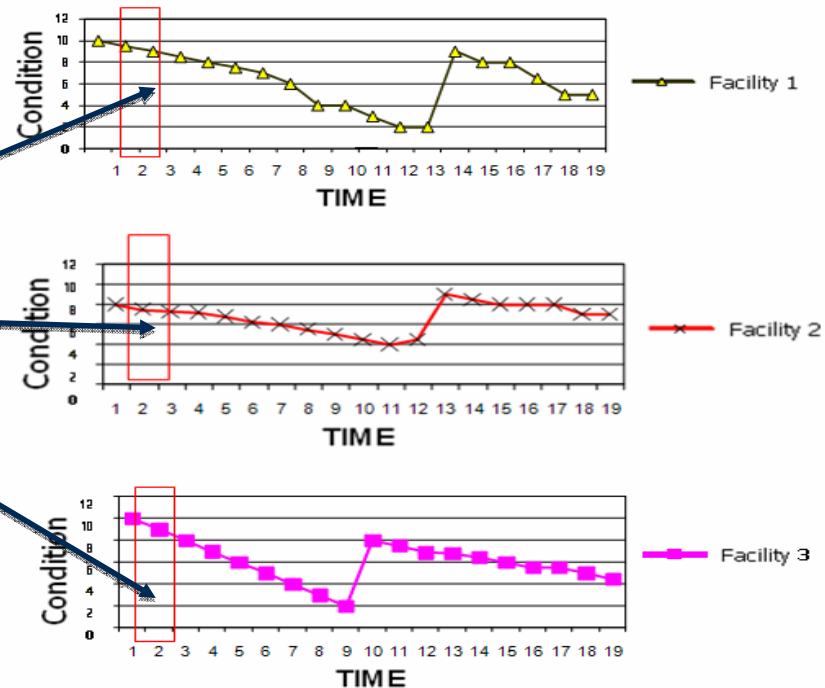
# Conceptual IIMA



# The Proposed IIMA

- Each facility deteriorates based on its own physical operating and environmental conditions curve.
- These deterioration curves must be identified for each facility.

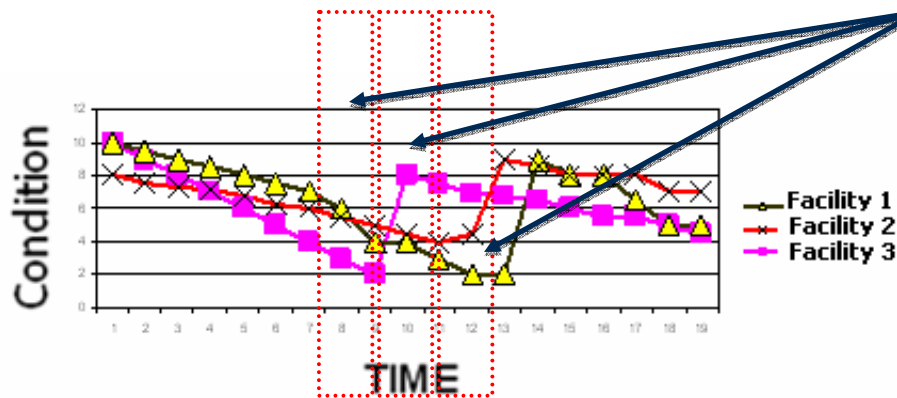
Decision window for one cycle for each facility





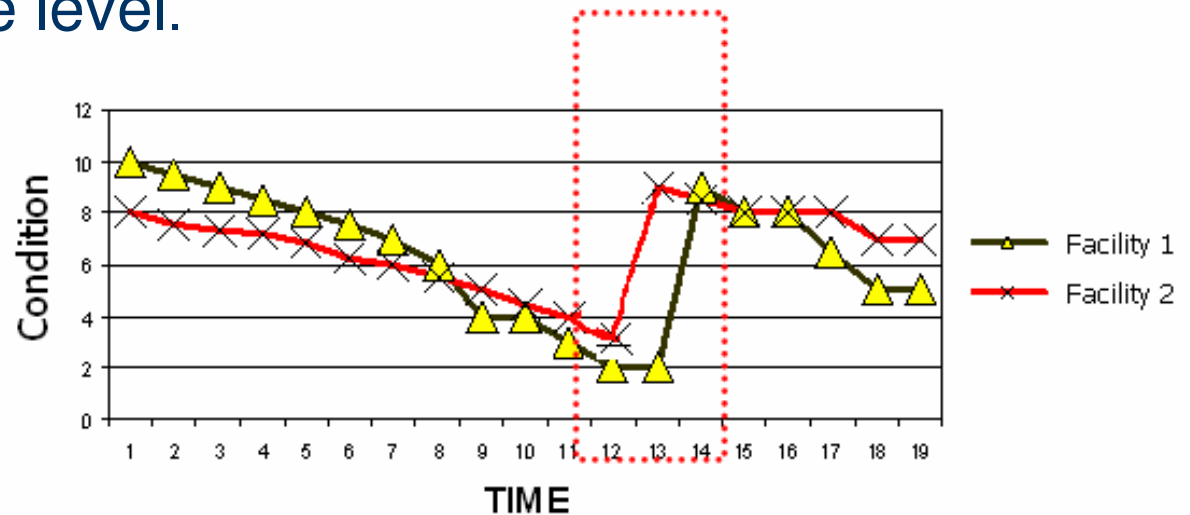
## The IIMA Proposed Approach (Cont.)

- Deterioration curves of different municipal facilities are combined in a single graph to show the extent of each facility's deterioration.



# Integration Scenarios

- The first scenario: Apply MR&R integration when the deterioration level of more than one facility reaches the unacceptable level.



$$C = (C_1 + C_2) \beta \gamma$$

where:

$C$  integrated cost.

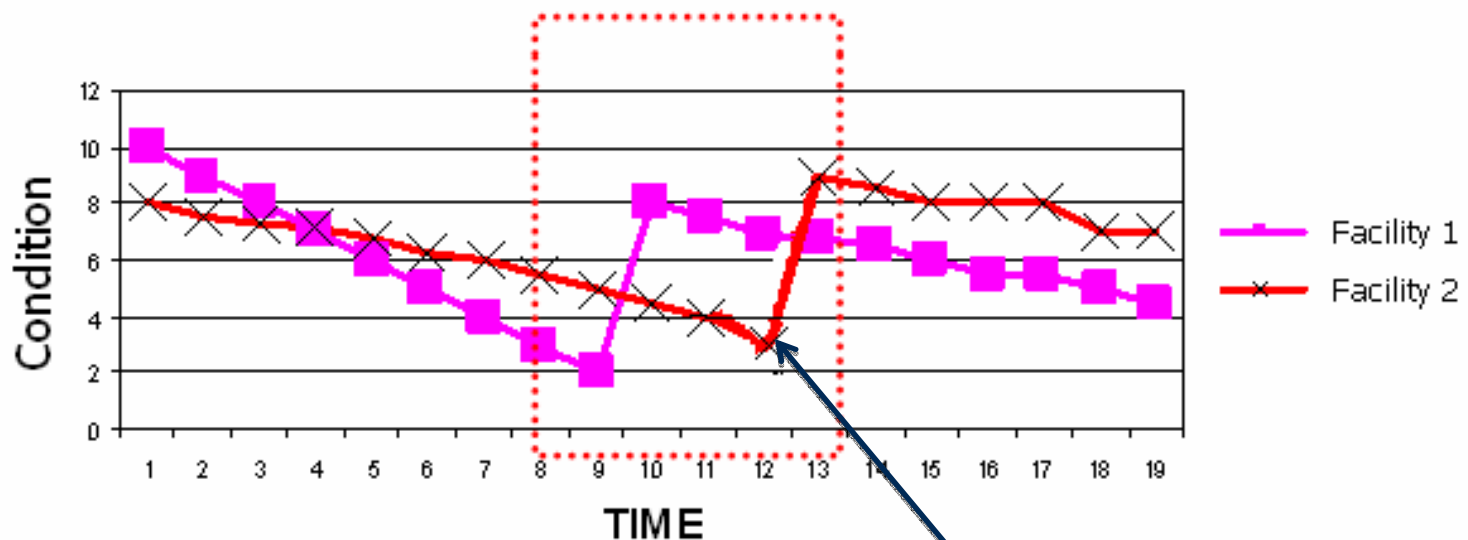
$C_1, C_2$  the cost of applying MR&R intervention to facility 1 and facility 2, respectively.

$\beta$  reduction factor of direct costs.

$\gamma$  reduction factor of social and environmental costs.

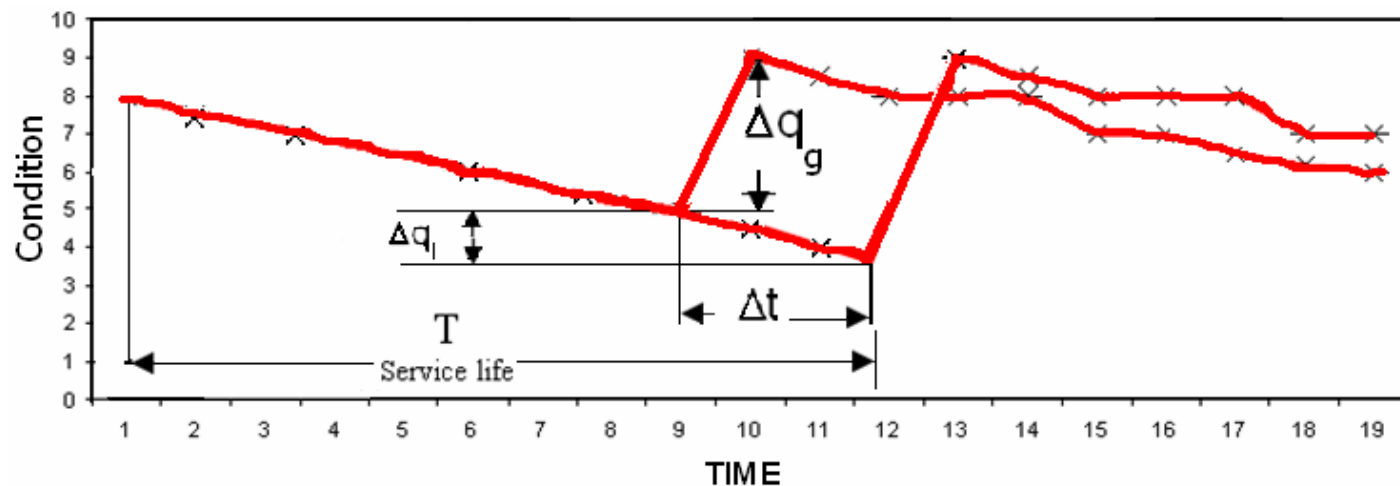
## Integration Scenarios (Cont.)

- The second scenario: Apply MR&R integration when a certain facility's deterioration reaches the unacceptable level and the other facilities are still in the acceptable level.



Early intervention will scarifies the remaining service life

# Remaining Life Scarifies



$$\alpha = 1 + \Delta t / T$$

$$A = \Delta q_g / \Delta q_l > 1$$

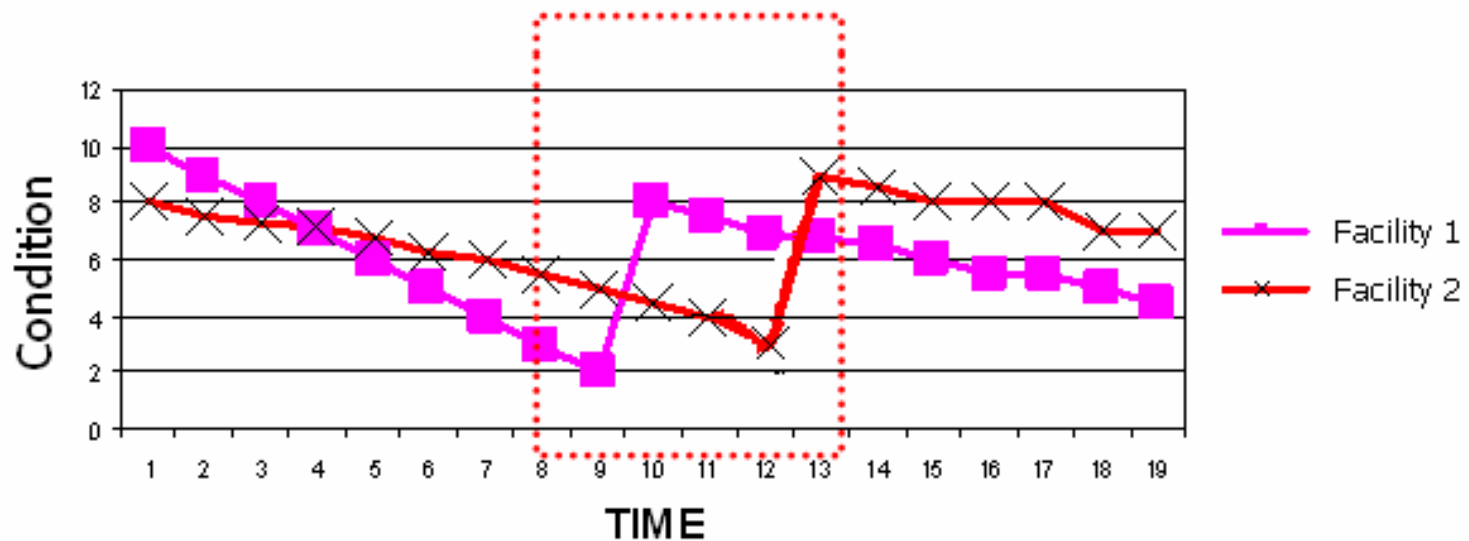
where:

$\alpha$  early intervention factor  
 $\Delta t$  scarified remaining life.  
 $T$  facility service life

$A$  MR&R integration applicability factor  
 $\Delta q_l$  is the quality loss due to early intervention  
 $\Delta q_g$  is the quality gain due to the selected MR&R method

# Optimization

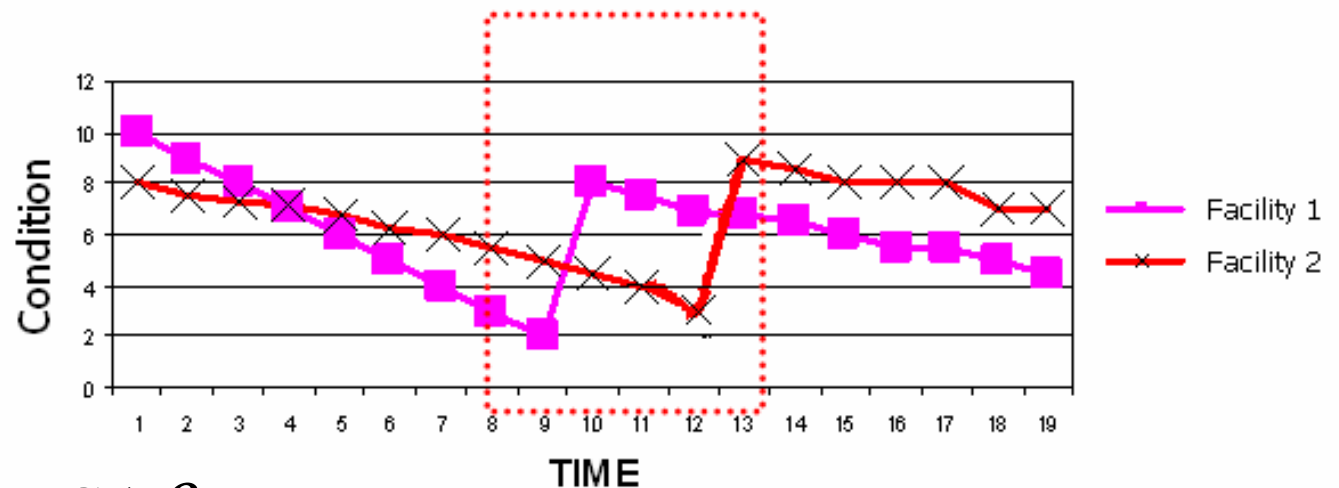
- The integration cost for the second scenario



$$\text{integrated } C = (C_1 + \alpha C_2) \beta \gamma$$

# Numerical Example

(based on the second scenario)

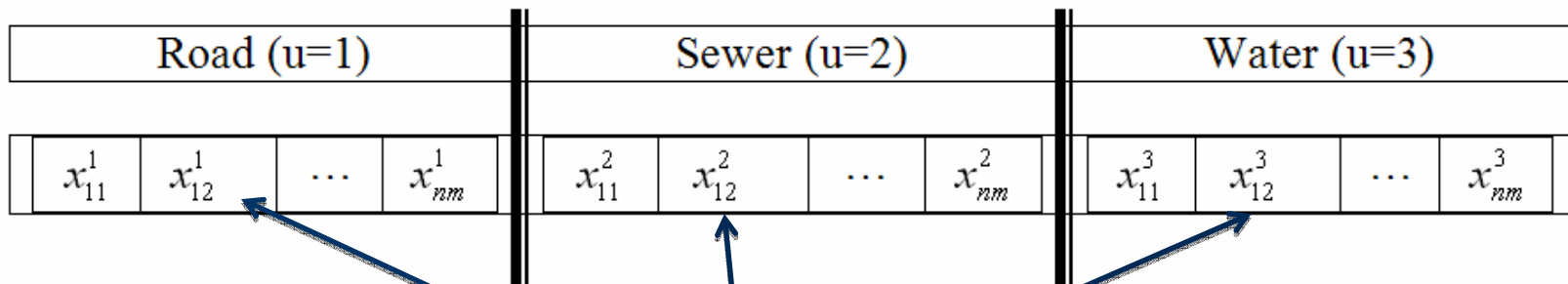


$$C' = (C_1 + \alpha C_2) \beta \gamma$$

Utility	MR&R decision	$C_i$	$\alpha$	$\beta$	$\gamma$	$C'$	$\Delta C$
Water section	Replacement	\$12,000	1	0.75	0.95	\$8,550	\$3,450
Sewer section	Replacement	\$9,000	1.1	0.75	0.95	\$7,053	\$1,947
Total cost		\$21,000				\$15,603	\$5,397

# Genetic Algorithm (GA)

Proposed GA gene design



The value of a cell represents the action for a specific section at time  $t$ .

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# Pierrefonds Rated Sewer Sections



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# Summary And Future Work

- Municipalities are required to identify their infrastructure facilities based on their condition rating.
- Typically condition rating is done by direct inspection which is economically not feasible, this study shows that this problem can be solved by adopting different condition rating models.
- Knowing the condition rating of different facilities is the key factor in applying the IIMA.
- IIMA is expected to reduce the long term infrastructure management costs.
- The main contributions of this paper are:
  - Discussing the IIMA different scenarios.
  - Defining the cost of the remaining service life loss.
  - Introducing the spatio-temporal project level optimization.

## Summary And Future Work (Cont.)

- The future work related to this study is as follows:
  - Defining specific MR&R actions for different infrastructure facilities and their integration parameters ( $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $A$ ).
  - Identifying the quality gain of each MR&R action and for different facilities.
  - Preparing GA optimization requirements (gene design, crossover, and mutation processes).
  - Applying dynamic segmentation to Pierrefonds data and using it in the GIS.



Thank you